

Variability in the global energy budget and transports 1985-2017

Chunlei Liu^{1,2,9}, Richard P. Allan^{2,3}, Michael Mayer^{4,7}, Pat Hyder⁵, Damien Desbruyères⁶, Lijing Cheng^{8,10}, Jianjun Xu^{1,9},
Feng Xu¹ and Yu Zhang¹

¹ South China Sea Institute of Marine Meteorology, Guangdong Ocean University, Zhanjiang, China

² Department of Meteorology, University of Reading, Reading, UK

³ National Centre for Earth Observation, Reading, UK

⁴ European Centre for Medium-Range Weather Forecasts, Reading, UK

⁵ Met Office, Exeter, UK

⁶ Ifremer, University of Brest, CNRS, IRD, Laboratoire d'Océanographie Physique et Spatiale, Plouzané, France

⁷ Department of Meteorology and Geophysics, University of Vienna, Vienna, Austria

⁸ ICCES, Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing, China

⁹ Shenzhen Institute of Guangdong Ocean University, Shenzhen, China

¹⁰ Center for Ocean Mega-Science, Chinese Academy of Sciences, Qingdao, China, 266071

Corresponding author address: Chunlei Liu, South China Sea Institute of Marine Meteorology, Guangdong Ocean University, Zhanjiang, China

E-mail: liuclei@gdou.edu.cn

ABSTRACT

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The study of energy flows in the Earth system is essential for understanding current climate change. To understand how energy is accumulating and being distributed within the climate system, an updated reconstruction of energy fluxes at the top of atmosphere, surface and within the atmosphere derived from observations is presented. New satellite and ocean data are combined with an improved methodology to quantify recent variability in meridional and ocean to land heat transports since 1985. A global top of atmosphere net imbalance is found to increase from $0.10 \pm 0.56 \text{ Wm}^{-2}$ over 1985-1999 to $0.62 \pm 0.1 \text{ Wm}^{-2}$ over 2000-2016, and the uncertainty of $\pm 0.56 \text{ Wm}^{-2}$ is related to the Argo ocean heat content changes and an additional uncertainty applying prior to 2000 relating to homogeneity adjustments. The net top of atmosphere radiative flux imbalance is dominated by the southern hemisphere ($0.36 \pm 0.04 \text{ PW}$, about $1.41 \pm 0.16 \text{ Wm}^{-2}$) with an even larger surface net flux into the southern hemisphere ocean ($0.79 \pm 0.16 \text{ PW}$, about $3.1 \pm 0.6 \text{ Wm}^{-2}$) over 2006-2013. In the northern hemisphere the surface net flux is of opposite sign and directed from the ocean toward the atmosphere ($0.44 \pm 0.16 \text{ PW}$, about $1.7 \pm 0.6 \text{ Wm}^{-2}$). The sea ice melting and freezing are accounted for in the estimation of surface heat flux into the ocean. The northward oceanic heat transports are inferred from the derived surface fluxes and estimates of ocean heat accumulation. The derived cross-equatorial oceanic heat transport of 0.50 PW is higher than most previous studies, and the derived mean meridional transport of 1.23 PW at 26°N is very close to 1.22 PW from RAPID observation. The surface flux contribution dominates the magnitude of the oceanic transport, but the integrated ocean heat storage controls the interannual variability. Poleward heat transport by the atmosphere at 30°N is found to increase after 2000 (0.17 PW/decade). The multiannual mean (2006-2013) transport of energy by the atmosphere from ocean to land is estimated as 2.65 PW , and is closely related to the ENSO variability.

48 **Key words:** TOA flux, net surface flux, energy transport

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50 **1. Introduction**

51 The global radiative fluxes at the top of atmosphere (TOA) include the incoming and
52 reflected shortwave radiation and the outgoing longwave radiation. Over recent decades there
53 has been energy accumulating in the climate system since absorbed sunlight has been on
54 average greater than outgoing longwave radiation and this is causing the planet to warm, sea
55 levels to rise and the water cycle to change (Easterling and Wehner 2009; Knight et al. 2009;
56 Trenberth and Fasullo 2013; Huber and Knutti 2014; Watanabe et al. 2011). The surface
57 energy budget includes downward and upward surface shortwave and longwave radiative
58 fluxes and the latent heat (evapotranspiration) and sensible heat turbulent fluxes. The
59 asymmetric hemispheric distribution of the net downward TOA radiative flux and the net
60 surface flux are closely related to cross-equatorial energy transports in both atmosphere and
61 oceans (Loeb et al. 2018b; Liu et al. 2017), as well as the position of the intertropical
62 convergence zone (ITCZ) (Donohoe et al. 2013; Frierson and Hwang 2012; Kang et al. 2018;
63 Liu et al. 2020). More than 90% of the energy accumulating in the Earth system is taken up
64 by the ocean (Cheng et al. 2017). The energy absorbed by the top layer ocean is the key
65 factor determining the surface temperature variability (Easterling and Wehner 2009; Knight
66 et al. 2009; Trenberth and Fasullo 2013; Su et al. 2018), and the energy entering the deeper
67 ocean can accumulate and affect long-term climate change (Otto et al. 2013; Richardson et al.
68 2016). Therefore, it is essential to accurately observe and understand present day changes in
69 energy fluxes at the TOA and the surface.

70 CERES (Clouds and the Earth's Radiant Energy System) provides high quality TOA
71 radiative flux data since March 2000 (Loeb et al. 2012; Kato et al. 2013) and the data since
72 1985 prior to CERES has been reconstructed by Allan et al. (2014) using the satellite

73 observations of ERBE WFOV (Earth Radiation Budget Experiment Satellite wide field of
74 view, 72 day mean) (Wong et al. 2006) and ECMWF ERA-Interim reanalysis (Dee et al.
75 2011; Berrisford et al. 2011). Discontinuities in the reconstruction were dealt with using the
76 5th Atmospheric Model Intercomparison Project (AMIP5) simulations and other high
77 resolution atmospheric model simulation results. The net surface fluxes have also been
78 estimated by the residual method (Trenberth and Solomon 1994; Mayer and Haimberger
79 2012; Liu et al. 2015, 2017) in which mass corrected horizontal transport of atmospheric
80 energy and atmospheric energy accumulation from ERA-Interim reanalysis are combined
81 with net TOA fluxes. The reconstructed TOA fluxes and estimated net surface energy fluxes
82 have been used in various studies (Williams et al. 2015; Valdivieso et al. 2015; Senior et al.
83 2016; Roberts et al. 2016; Mayer et al. 2016, Mayer et al. 2018; Roberts et al. 2017; Hyder
84 et al. 2018; Mignac et al. 2018; Cheng et al. 2019; Trenberth et al. 2019; Bryden et al. 2019)
85 for comparison with other data sets, model evaluation and understanding climate change and
86 variability. The TOA radiative fluxes from CERES and ERBE WFOV have been updated
87 recently and a more accurate method calculating the total atmospheric energy transport has
88 been proposed (Mayer et al. 2017). In this paper an update of these estimates is provided and
89 the variability in radiative fluxes since 1985 is quantified, considering cross-equatorial
90 atmospheric and oceanic heat transports, the meridional heat transport at 26°N in the Atlantic
91 and the heat transport from ocean to land.

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93 **2. Data and method**

94 Following Mayer et al. (2017) and Liu et al. (2017), the net downward surface flux F_S can
95 be written as

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$$97 \quad F_S = F_T - \frac{\partial E}{\partial t} - \nabla \cdot \frac{1}{g} \int_0^{p_s} [(1 - q_g)C_a(T - T_o) + L_v(T)q_g + \varphi + k]v dp \quad (1)$$

99 where F_T is the net downward radiative flux at TOA. E is the total column atmospheric
100 energy and $\frac{\partial E}{\partial t}$ is its tendency. L_v is the latent heat of condensation of water, q_g specific
101 humidity, C_a is the specific heat capacity of air at constant pressure, T is air temperature
102 (relative to reference temperature T_0), φ and k are geopotential and kinetic energy,
103 respectively. \mathbf{v} is the horizontal wind velocity vector, and p is the air pressure. p_s is the
104 surface pressure. The last term on the right side of equation (1) is the divergence of
105 atmospheric moist static plus kinetic energy transport, where enthalpy of atmospheric water
106 vapor has been removed. This avoids inconsistencies arising from the non-zero atmospheric
107 lateral total (dry plus moist) mass flux divergence, which balances surface freshwater flux
108 (i.e. precipitation minus evaporation). Enthalpy of precipitation and evaporation usually are
109 neglected, consequently leading to ambiguities in energy budget calculations if enthalpy of
110 water vapour in the lateral transports is retained. These are particularly large when using the
111 Kelvin temperature scale that is common in atmospheric science, as discussed in detail by
112 Mayer et al. (2017). Trenberth and Fasullo (2018) acknowledged the reduction of ambiguities
113 when changing to Celsius scale (i.e. setting $T_0 = 273.15\text{K}$, which effectively diminishes the
114 magnitude of the ambiguous pattern by a factor of <0.1), but in this context invoked the need
115 for knowledge of the vertical profile of the temperature at which condensation occurs. This
116 seems a relevant argument when dealing with entropy budgets, but here we are concerned
117 with total energy, which is unaffected by phase changes as long as the mass budget is closed.
118 Hence, use of the equations proposed by Mayer et al (2017) is deemed appropriate here. The
119 effect of removing atmospheric vapour enthalpy from the budget equations will become
120 evident in the discussion of cross-equatorial energy transports discussed in section 3.2. All
121 variables used in equation (1) are from ERA-Interim reanalysis, which is a four-dimensional
122 variational analysis assimilating the full observing system (Dee et al. 2011).

123 The net surface energy fluxes can be estimated by combining the TOA radiative fluxes,
124 and the atmospheric energy transport and tendency (Trenberth 1991; Trenberth et al. 2001;
125 Mayer and Haimberger 2012, Liu et al. 2015, 2017). The atmospheric energy transport (or
126 convergence/divergence) is usually taken from atmospheric reanalyses, since they represent
127 the atmospheric state including wind patterns realistically due to the large amount of
128 observational data being assimilated. However, the imbalance of the wind-induced mass
129 transport and surface pressure changes, which arises from the lack of observational constraint
130 of divergent winds, necessitates a mass correction to the atmospheric transport (Trenberth et
131 al. 2009; Mayer and Haimberger 2012, Liu et al. 2015). The total atmospheric energy
132 transport is re-calculated following Mayer et al (2017) by removing the enthalpy of the water
133 vapour from the atmospheric energy transport, and the net surface energy fluxes are derived
134 based on procedures of Liu et al. (2017) who proposed a land surface flux adjustment based
135 on an upper soil layer energy budget approach. This is still needed for the updated
136 atmospheric transport of Mayer et al. (2017) to ensure a physically reasonable multiannual
137 mean land surface energy budget (Liu et al. 2015, 2017). The mean net land surface flux is
138 now anchored to a new estimate of 0.2 Wm^{-2} (equivalent to about 0.06 Wm^{-2} for the global
139 surface area) over 2004-2014 using years where a minimum of 50 ground heat flux
140 measurement sites are available (Gentine et al. 2019) rather than 0.08 Wm^{-2} over 1985-2012
141 applied in previous studies (Liu et al. 2015, 2017).

142 The multiannual mean TOA net radiative flux is anchored to 0.71 Wm^{-2} over 2005-2015
143 (Johnson et al. 2016), with $0.61 \pm 0.09 \text{ Wm}^{-2}$ taken up by the ocean from 0–1800 m, 0.07 ± 0.04
144 Wm^{-2} by the deeper ocean and $0.03 \pm 0.01 \text{ Wm}^{-2}$ by melting ice, warming land, and an
145 increasingly warmer and moister atmosphere. The multiannual mean (2006-2013) ocean heat
146 storage (0-2000m) in southern and northern hemispheric oceans, the zonal mean ocean heat
147 storage in the global ocean and Atlantic, and the time series of ocean heat storage are all

148 calculated from the five ensemble members of ECMWF's ORAS5 (Ocean ReAnalysis
149 System 5) reanalysis (Zuo et al. 2019), with the adjustment of OHCT (Ocean Heat Content
150 Trend) based on the global mean surface heat flux into the ocean (see section 3.2 for details).
151 ORAS5 is a state-of-the-art eddy-permitting ocean reanalysis running on $\frac{1}{4}^\circ$ degree
152 resolution. It has been found to provide realistic variability in ocean heat storage and oceanic
153 transports in the tropics (Mayer et al. 2018; Trenberth and Zhang 2019) and the Arctic (Uotila
154 et al. 2018; Mayer et al. 2019), but it seems to overestimate decadal variability in the North
155 Atlantic (Jackson et al. 2019). The eddy transport is a crucial component of heat transport and
156 the eddy parameterization such as GM (Gent and McWilliams 1990) may not well represent
157 such effect, which may be a caveat or limitation of this method. The RAPID time series at
158 26°N (Smeed et al. 2017) and some of the newly published ERA5 data (Hersbach et al. 2020)
159 are also employed for comparisons. All data sets and brief descriptions are listed in Table 1.

160 Following Allan et al. (2014), the TOA fluxes since 1985 and prior to the CERES era have
161 been reconstructed based on ERA5 reanalysis anomaly spatial distribution constrained by the
162 low resolution ERBE WFOV variability and CERES climatology. We use the recently
163 updated CERES EBAF version 4.1 (Loeb et al. 2018a). An update of the ERBE WFOV v4.0
164 dataset (Shrestha et al. 2019) was also considered but an apparently unrealistic increase in
165 interannual variation after the discontinuity in 1993 (see Figure S1), primarily attributed to
166 absorbed solar radiation (ASR), led us to retain the validated v3.0 product. However, the data
167 in 1999 are not used due to their low frequency of observations (Shrestha et al. 2019). The
168 anomalies over gaps around 1993 and 2000 are filled by interpolating radiative flux
169 anomalies from ERA5 following Liu et al. (2015). The absolute values on both sides of the
170 gaps are adjusted based on the ensemble mean from ten AMIP6 model simulations listed in
171 Table 1. Unlike in the previous versions where only the global constraint was applied, the
172 grid point information of the ERBE WFOV data are used in this study to constrain the TOA

173 flux at $10^\circ \times 10^\circ$ resolution. The CERES radiation fluxes from March 2000 onwards are then
174 combined to form a complete data set (DEEPC v4.0) from January 1985 to January 2019
175 based on the available CERES observations.

176 The resulting time-series of the reconstruction are sensitive to the number of years
177 considered prior to and following each of the two data gaps. A shorter period introduces
178 additional noise to the time series while a longer period aliases more of the simulated
179 variability into the reconstructed dataset. A pragmatic approach is therefore required in which
180 the advantages and disadvantages are balanced. While Allan et al. (2014) estimated an
181 uncertainty based on the ensemble of simulations used, we further evaluated the sensitivity to
182 the interpolation data length in more detail. The multi-month mean difference between both
183 sides of the gap (the mean before the gap minus the mean after the gap) was calculated first
184 for both reconstructed TOA flux (d_1) and AMIP6 model ensemble mean (d_2), then the
185 adjustment $d = d_1 - d_2$ was calculated. The net radiative flux (NET) adjustment tends to be
186 stable after two and a half years for the 1999-2000 gap and two years for the 1993-1994 gap
187 (Figure S2). For absorbed solar radiation (ASR), the adjustments show similar characteristics.
188 Therefore, the three year mean difference before and after the data gap is used for the
189 adjustment, more than the 2-years chosen by Allan et al. (2014). By combining the variability
190 between two year and three year mean adjustment, the AMIP6 spread and the uncertainty of
191 $\pm 0.1 \text{ Wm}^{-2}$ over the CERES period (Johnson et al. 2016) in quadrature, the corresponding
192 uncertainty (equivalent to one standard deviation) is $\pm 0.20 \text{ Wm}^{-2}$ over 1994-1999 and ± 0.56
193 Wm^{-2} over 1985-1993 for NET TOA radiation flux.

194 Following the method of Loeb et al. (2016) and Trenberth et al. (2019), the ocean heat
195 divergence ($\nabla \cdot F_O$) can be calculated by

$$196 \quad F_d - \text{OHCT} = \nabla \cdot F_O \quad (2)$$

197 where $F_d = F_s - F_{ice}$ is the energy entering the ocean, F_{ice} is the sea ice melting energy. The
198 northward meridional ocean heat transport at latitude θ can be calculated by integrating
199 equation (2) from the north (or south) pole to θ . The sea ice data are from the five ensemble
200 members of ORAS5 which is in reasonable agreement with other estimates in the Arctic
201 Ocean domain (Mayer et al. 2019). The time series of twelve month running mean global
202 mean sea ice melting energy (positive for melting and negative for freezing) shows large
203 interannual variability (Figure S3a) (Trenberth and Zhang 2019), but the uncertainty range
204 from five ORAS5 ensemble members is relatively small. The global mean OHCT time series
205 from ORAS5 for different depth integrations are plotted in Figures S3b-e (black line),
206 together with the time series of TOA net radiative flux (F_T) and the surface heat flux into the
207 ocean (F_d). The shading denotes \pm one standard deviation of five ORAS5 ensemble members
208 and all lines are twelve month running mean. It can be seen that the variability of 0-300m
209 OHCT has good agreement with F_T and F_d before 2005, and the correlation coefficients are
210 about 0.73 and 0.69, respectively. The OHCT became lower after 2005. For other depth
211 integrations, both absolute value and variability of OHCT have good agreement with F_T and
212 F_d before 1999, but large discrepancies occurred over 1999-2005 as discovered by Trenberth
213 and Zhang (2019), when the observing system is transitioning from mainly XBTs
214 (expendable bathythermographs) to mainly Argo floats (Chambers et al. 2016). The general
215 agreement in both absolute value and the variability between OHCT and TOA F_T further
216 suggests the robustness of our reconstruction of F_T over 1985-1999. In this study, the OHCT
217 is integrated over 0-2000m. To ensure energy conservation, the OHCT is adjusted by
218 constraining its annual and global mean to the corresponding annual and global mean of F_d as
219 shown by the cyan line in Figure S3d.

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222 3. Results

223 3.1 Global mean TOA radiation fluxes and their variability since 1985

224 The global mean monthly anomaly (reference period is 2001–2005) time series of TOA
225 fluxes are plotted in Figure 1 for DEEPC v4.0, CERES Ed4.1, the AMIP6 model ensemble
226 mean (gray shading denotes the ± 1 standard deviation of the ten simulations), ERA5 and
227 ERBE WFOV v3.0. All lines are three month running means, while the ERBE WFOV data
228 are 72 day means and are deseasonalized with respect to the 1985-1999 period, so the whole
229 ERBE WFOV anomaly line is shifted vertically for clarity. An increasing trend in the NET
230 flux (2001-2014) simulated by the AMIP6 model ensemble ($0.12 \pm 0.09 \text{ Wm}^{-2} \text{decade}^{-1}$) is
231 insignificant and is about one third of the CERES observed estimate ($0.34 \pm 0.15 \text{ Wm}^{-2} \text{decade}^{-1}$
232 and is significant) while interannual variability is moderately well captured (correlation
233 coefficient $r = 0.51$). The differences between two sets of CERES data are mainly from the
234 improvement in the instrument calibration, cloud properties, angular distribution models for
235 radiance-to-flux conversion and short time interpolation (Loeb et al 2018a). The absolute
236 NET flux of 0.71 W/m^2 over 2003-2016 (same as CERES Ed 4.1) is used for the anchoring of
237 net TOA flux, and the multiannual mean NET fluxes are $0.10 \pm 0.56 \text{ W/m}^2$ over 1985-1999
238 and $0.62 \pm 0.10 \text{ W/m}^2$ over 2000-2016 which is qualitatively consistent with the results of
239 Cheng et al. (2017). It is noted that for both ASR and OLR, the updated reconstruction on
240 both sides of the 1993 gap displays a smaller difference than that of ERBE WFOV due to the
241 adjustment effect based on AMIP6 ensemble mean, and the OLR anomaly from the
242 reconstruction is higher than that of AMIP6 ensemble mean before 1991. There is consistent
243 variability between CERES and ERA5 before 2013 ($r \geq 0.77$) but ERA5 does not capture the
244 increase in NET and ASR after this (Figures 1a and c) (Loeb et al. 2020); the reason behind
245 this merits further investigation. The trends of NET, ASR and OLR from each data set and

246 correlations between DEEPC and other data sets over 1985-2000 and 2001-2014 are listed in
247 Table 2 for reference.

248 Although the variability of ERA5 and DEEPC OLR anomalies is in good agreement before
249 ($r = 0.79$) and after 2000 ($r = 0.87$), OLR from DEEPC is up to 0.5 Wm^{-2} lower than that of
250 ERA5 and AMIP6 simulations between 1998 and 2002 (Figure 1b). Following the Mount
251 Pinatubo eruption in 1991, OLR decreases by nearly 2.5 Wm^{-2} in 6 months in DEEPC and
252 ERBE WFOV which is larger than the decrease in AMIP6 and ERA5 of about 1.5 Wm^{-2} .
253 This may reflect inadequacies in simulating the effects of volcanic aerosol on longwave
254 radiative transfer or unrealistic cloud structure and stratospheric thermal responses but is
255 beyond the scope of the present study. The agreement of variability in ASR anomalies
256 between DEEPC and ERA5 is generally good (Figure 1c, $r = 0.77$), except that the CERES
257 has a more positive trend. The DEEPC net flux is less positive than the AMIP6 ensemble
258 ($0.47\text{-}0.95 \text{ Wm}^{-2}$) based on comparisons over multiple 5 year periods (Table 3), which can be
259 explained by the lower simulated OLR. ERA5 overestimates both OLR and ASR by about
260 2 Wm^{-2} compared to DEEPC, but they compensate each other to yield reasonable net flux
261 agreement with observations.

262

263 **3.2 Global meridional energy transports and their variability**

264 The hemispheric energy imbalances in both atmosphere and oceans are re-evaluated using
265 the latest CERES radiation fluxes, updated net surface energy fluxes and adjusted ORAS5 0-
266 2000m OHCT (Figure 2), and the geodetic weighting and the number of days in a month are
267 also applied (Liu et al. 2017). The net downward radiation flux at TOA is $0.36 \pm 0.04 \text{ PW}$ in
268 the southern hemisphere and $-0.01 \pm 0.04 \text{ PW}$ in northern hemisphere, so the southern
269 hemisphere is gaining energy while the northern hemisphere is close to balance at the top of

270 the atmosphere, consistent with previous work (Loeb et al. 2016; Irving et al. 2019; Lembo et
271 al. 2019a).

272 At the surface, the net downward energy flux is 0.79 ± 0.16 PW in the southern hemisphere,
273 driving ocean heating of 0.29 ± 0.02 PW. However, a strong northward transport of heat by the
274 ocean (0.50 ± 0.16 PW), inferred from the surface heat fluxes and oceanic energy storage,
275 transports much of this energy to the northern hemisphere where a small amount accumulates
276 (0.06 ± 0.01 PW) but much is fluxed into the atmosphere above (0.44 ± 0.16 PW). The ocean
277 heat transport is dominated by the Atlantic Meridional Overturning Circulation (MOC)
278 transporting warm water northward across the equator to compensate for the southward
279 export of colder North Atlantic Deep Water (Garzoli and Matano 2011; Mignac et al. 2018).
280 These inferred transports are higher than our previous estimations (Liu et al. 2017)
281 (0.22 ± 0.15 PW for southward atmospheric transport and 0.32 ± 0.16 PW for northward
282 oceanic transport), and estimations of Stephens et al. (2016) (0.33 ± 0.6 PW for southward
283 atmospheric transport and 0.45 ± 0.6 PW for northward oceanic transport) and Trenberth and
284 Zhang (2019) (0.35 ± 0.02 PW for southward atmospheric transport and 0.22 ± 0.10 PW for
285 northward oceanic transport), but they are very similar to those provided in Mayer et al.
286 (2017). These values are listed in table 4 for reference. Differences with earlier estimates are
287 in part related to the updated, consistent treatment of water vapour enthalpy (Mayer et al.
288 2017) and can be understood from the following considerations: The hemispheric mass
289 imbalance of the atmosphere arises from a net northward moisture flux across the equator of
290 $\sim 6\times 10^8$ kg/s, which must be balanced by an oceanic return flow. If this mass flux is retained in
291 the atmospheric transport calculations, we can estimate its contribution to the total
292 atmospheric cross-equatorial energy transport as $1003\text{Jkg}^{-1}\text{K}^{-1} \times 290\text{K} \times 6\times 10^8\text{kg s}^{-1} \approx 0.17\text{PW}$
293 (assuming a temperature of 290K and using specific heat of dry air, which is inadequate but
294 implicitly used widely in this type of computations), which closely matches the difference of

295 earlier estimates with ours. Ideally, one would retain the enthalpy of moisture in the
296 atmospheric computations and would also estimate the enthalpy carried by the cross-
297 equatorial return flow in the ocean, the difference of which (essentially determined by the
298 temperature differences) would be an unambiguous estimate of the northward energy
299 transport accomplished by atmospheric moisture. This would be very small (~ 0.01 PW
300 assuming a ΔT of 15K) and hence neglect of enthalpy of moisture and effectively setting this
301 transport contribution to zero is deemed much more adequate than the procedure in earlier
302 works.

303 The time series of global meridional transports at 30°N , equator and 30°S in ocean and
304 atmosphere are displayed in Figure 3. The mean oceanic poleward transport in Figure 3a
305 (solid black line) is calculated based on the surface fluxes and oceanic heat storage from five
306 ORAS5 ensemble members. The shading denotes \pm one standard deviation which increases
307 with the integration distance from the pole. The transports at 30°N and the equator are
308 inferred by the integration from the north pole, while the transport at 30°S is inferred by the
309 integration from the south pole in order to reduce the errors. The contributions to the oceanic
310 heat transport from surface flux (F_d) and oceanic heat storage are also plotted. The mean
311 oceanic poleward transport at 30°N displays a significant decreasing trend (-0.22 ± 0.08 PW
312 decade^{-1}) between 1995-2011. The poleward transport at 30°S displays larger interannual
313 variability than at 30°N but with no obvious trend. The northward cross-equatorial oceanic
314 transport is generally positive and has a similar trend as that at 30°N .

315 The contributions from surface flux (F_d) and heat storage to ocean heat transport variability
316 are also investigated (Figure 3a). The integrated mean heat storage over 1985-2016 are 0.04,
317 0.11 and 0.18 PW at 30°N , equator and 30°S , respectively, so their variability time series are
318 shifted in the vertical direction to match the mean transport to aid the comparison. It is found
319 that the surface flux contribution determines the overall magnitude of the transport and the

320 contribution from the heat storage integration determines the interannual variability. The
321 correlation coefficients between the transport at 30°N, equator and 30°S and the integrated
322 heat storage (90-30°N, 30°N-0, 90°-30°S) over 1985-2016 are all significant at the 95%
323 confidence level and the values are 0.56, 0.89 and 0.64, respectively. The correlation
324 coefficient between oceanic heat transport and MEI (Multivariate ENSO Index, (Wolter and
325 Timlin, 1998)) at the equator is 0.47 and significant, and the correlation coefficient between
326 oceanic heat storage contribution and MEI is 0.42 and significant, implying that the oceanic
327 heat storage contribution is partially modulated by ENSO variability, which may be related to
328 the redistribution of OHC between the north and south tropical oceans during ENSO events
329 (Mayer et al. 2014; Wu et al. 2018; Cheng et al. 2019). The factors affecting these variability
330 merit further study.

331 The poleward atmospheric transports at 30°N, the equator and 30°S are shown in Figures
332 3b-d. The trend of the atmospheric transports at 30°N is opposite in sign to the corresponding
333 oceanic transports ($r=-0.69$ and is significant at the 95% confidence level). Unlike the oceanic
334 cross-equatorial transport, the atmospheric cross-equatorial transport displays an insignificant
335 correlation with MEI index. The rapid decrease of cross-equatorial atmospheric transport
336 from 1991-1992 is due to the Pinatubo eruption which reflects more solar radiation and
337 reduces ASR preferentially in the northern hemisphere, decreasing the total atmospheric
338 energy convergence in the northern hemisphere and the hemispheric atmospheric energy
339 convergence difference, leading to decreased cross-equatorial atmospheric transport.
340 However the reasons for the rapid decrease in 2001 and strong increase in 2011 remain
341 unclear and will be further investigated in a future study.

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345 **3.3 Inferred Atlantic meridional energy transports**

346 As an important component of the climate system, the Atlantic meridional heat transport is
347 calculated using the method described in section 2. The transport is integrated from the north
348 pole and the results are plotted in Figure 4a. The symbols represent observations from various
349 sources and the bars are one standard deviation of multiple measurements. Please note the
350 observations are taken over different time period and are not long term means so are plotted
351 here for reference only. The inferred transports between 30°N and 80°N are higher than the
352 observational means with a mean bias of 0.18 PW, which is within the mean uncertainty
353 range. It should also be noted that the land correction may add uncertainty regionally and this
354 could also contribute to the bias so should be further investigated in the future. The transports
355 agree well with observations at locations south of 30°N.

356 In addition to short term observations in the Atlantic, the long term measurement at 26°N
357 of North Atlantic is another very important indicator for derived net surface flux evaluation.
358 The inferred time series of meridional energy transports at 26°N from different data sets are
359 shown in Figure 4b, together with the RAPID observations (Smeed et al. 2017). The time
360 series of zonal mean heat storage in the Atlantic is derived from the adjusted 0-2000m OHCT
361 of ORAS5. The mean transports over April 2004 – March 2015 are also displayed in the plot.
362 The meridional oceanic heat transport inferred directly from the ERA-Interim reanalysis
363 surface flux without applying mass correction (dashed grey line; mean of 0.66 PW) is
364 unrealistically low. Applying a mass correction increases the mean transport over the RAPID
365 data period to 1.00 PW. It was found by Liu et al. (2015) that the mass corrected atmospheric
366 energy divergence/convergence still does not ensure the small global mean energy fluxes
367 over land, so the excess/deficit energy over land was redistributed to the oceans (Liu et al.
368 2017) which also ensures physical consistency in the residual ocean heating based on the
369 TOA energy imbalance. The inferred multiannual mean (April 2004 – March 2015) transport

370 from the updated net surface fluxes based on mass corrected atmospheric energy
371 divergence/convergence and land surface flux adjustment (solid black line; mean transport of
372 1.23PW) is very close to the RAPID observation of 1.22 PW, considering the observation
373 uncertainty of ± 0.40 PW (Johns et al. 2011). The variability over 2008-2016 agrees well
374 between the inferred estimates (solid black line) and RAPID (solid red line) ($r = 0.66$). The
375 earlier trend of RAPID data from 2006-2009 is subject to greater uncertainty in observations
376 (Trenberth et al. 2019; Trenberth and Fasullo 2018).

377

378 **3.4 Atmospheric energy transport from ocean to land**

379 The energy transport from ocean to land is determined by dry static energy (relating to
380 temperature contrasts) and the transport of latent heat through moisture transport so is
381 therefore closely related with the water cycle (Trenberth and Fasullo, 2013). It also modulates
382 the relative response of land and ocean to climate change with the land ocean warming
383 contrast playing a central role in water cycle responses including extremes (Byrne and
384 O’Gorman 2016). It is therefore important to quantify this transport and its variability. The
385 ocean to land energy transport is inferred from the integration of atmospheric energy
386 convergence over land area and the results are shown in Figure 5. A radiative energy
387 imbalance of 2.99 PW at the TOA is observed over the oceans for the 2006-2013 period.
388 Less than 12% of this imbalance enters into the ocean while the remainder (about 2.65 PW) is
389 primarily transported by the atmosphere to over the land. This is slightly higher than the
390 estimated 2.5 PW by Trenberth and Fasullo (2013) over 1979-2010, which is partly due to
391 different assumptions of heat uptake by the land (if the land uptake is set to zero in our
392 calculation, the transport will be about 2.61 PW) and partly due to different methods
393 employed.

394 The time series of the transport is shown in Figure 5b and the five year mean transports are
395 displayed at the top of the plot. The estimate of ocean to land energy transport for 2006-2013
396 is 0.14 PW lower than that in the earlier 1985-2004 period, and the reason is still under
397 investigation. As the cross-equatorial oceanic transport is partially modulated by ENSO
398 variation as discussed above (Figure 3a), the variability of ocean to land energy transport is
399 significantly positively correlated with MEI ($r = 0.57$). The time series of net TOA radiative
400 flux over land is also plotted, and there is good agreement in the variability and trend
401 between the transport and the net TOA flux over land ($r = -0.79$, Figure 5d) as expected due
402 to small heat storage by the land and atmosphere. There is a negative relationship between the
403 annual mean transport and the annual mean precipitation over land (Figure 5c), since the
404 ENSO events move the precipitation between land and oceans (Liu and Allan, 2013) and
405 alters sensible heat flows, but the correlation is not significant ($r=-0.30$).

406

407 **4 Conclusions**

408 Study of energy flows in the Earth system is important for understanding climate change:
409 the energy absorbed by the top layer ocean can determine the surface temperature variability
410 while energy entering the deeper ocean can accumulate and affect long-term climate change
411 (Otto et al. 2013; Richardson et al. 2016). Recognising these processes can help in explaining
412 climate variability, including for example the slower than expected global surface warming at
413 the beginning of the century (Easterling and Wehner 2009; Knight et al. 2009; Trenberth and
414 Fasullo 2013; Su et al. 2018). It is therefore essential to accurately observe and understand
415 changes in energy fluxes at the TOA and the surface. For this purpose, updated satellite
416 observations and state of the art reanalysis products are combined with an improved
417 methodology to provide new estimates of Earth's top of atmosphere and surface energy
418 fluxes, derived meridional and ocean to land heat transports and their variability since 1985.

419 The motivation is to better quantify how energy is accumulating and being distributed across
420 the globe, thereby advancing understanding of current climate change. The latest version of
421 CERES Ed4.1 global mean net TOA radiation flux shows higher significant trend over the
422 period 2001-2014 ($0.34 \pm 0.15 \text{ Wm}^{-2} \text{ decade}^{-1}$) than in the previous version used (0.12 ± 0.13
423 $\text{Wm}^{-2} \text{ decade}^{-1}$ in CERES Ed2.8) that is explained by reduced reflected shortwave radiation.
424 The net TOA flux trends over 1985-2000 and 2001-2014 are qualitatively consistent with
425 OHC changes (Cheng et al. 2017), with a slow increase of OHC in the first period followed
426 by a fast increase over the second period.

427 Based on Mayer et al. (2017), the atmospheric energy convergences/divergences are re-
428 calculated by considering both mass imbalance and consistent treatment of enthalpy of water
429 substances using ERA-Interim output. The surface net energy flux is then estimated by
430 combining the updated TOA flux and the new atmospheric energy transport. The land surface
431 flux adjustment proposed by Liu et al. (2015, 2017) is applied and the mean net land surface
432 flux is anchored to a new estimate of 0.2 Wm^{-2} over 2004-2015 (Gentine et al. 2019) rather
433 than 0.08 Wm^{-2} over 1985-2012 in previous studies (Liu et al. 2015, 2017), although the
434 impact of this adjustment on our results is small.

435 In the estimation of the surface heat flux entering the ocean, the sea ice melting and
436 freezing are accounted for using five ORAS5 ensemble members. The meridional oceanic
437 heat transport are calculated using the surface flux and oceanic heat storage estimated from
438 ORAS5. The multiannual mean (2006-2013) northward meridional oceanic heat transport by
439 the Atlantic is calculated and there is generally good agreement with observations (mean bias
440 of 0.03 PW with a standard deviation of 0.19 PW). The time series of oceanic heat transport
441 at 26°N of North Atlantic is inferred. The magnitude and variability between 2008 and 2016
442 agrees well with the RAPID observations ($r=0.66$). The multiannual mean (April 2004 –
443 March 2015) transport (1.23 PW) is close to the RAPID observation of 1.22 PW and higher

444 than that from estimated surface flux without land surface flux adjustment. This implies that
445 the land surface flux adjustment is necessary but it is expected that this will not be required
446 with further improvements in the calculation of energy transports, using higher time and
447 space resolution data. Brydon et al (2019) compared our ocean surface fluxes with that
448 inferred from observed RAPID transport and measured OHCT, and it is found that our
449 surface fluxes are smaller than theirs. Since the oceanic heat transports from two data sets are
450 very close, this discrepancy is from their observed OHCT which has large uncertainty.

451 The oceanic and atmospheric transports at 30°N, the equator and 30°S are calculated. For
452 oceanic heat transport, the contributions from surface flux and heat storage are estimated, and
453 it is found that the surface flux contribution determines the magnitude of the transport, while
454 the heat storage determines the interannual variability of the transport. The variability of the
455 cross-equatorial oceanic heat transport and the oceanic heat storage contribution is partially
456 modulated by ENSO due to the redistribution of OHC between northern and southern
457 hemispheres during ENSO events (Wu et al., 2018; Cheng et al., 2019). The correlation
458 coefficient between the cross-equatorial oceanic heat transport and MEI is 0.47 and
459 significant at the confidence level of 95%, and the correlation coefficient of 0.42 between the
460 oceanic heat storage contribution at the equator and MEI is also significant. The atmospheric
461 energy transport at 30°N is significantly anti-correlated with the oceanic heat transport at the
462 same latitude ($r = -0.54$).

463 The multiannual mean cross equatorial atmospheric and oceanic transports are inferred by
464 considering the multiannual mean ocean heat storage and zonal mean oceanic heat transport
465 from five ORAS5 ensemble members. The inferred mean cross equatorial oceanic transport
466 over 2006-2013 is estimated as 0.50PW which is higher than those from previous studies
467 (0.32 PW in Liu et al. (2017) and 0.45 PW in Stephens et al. (2016)) but in agreement with

468 Mayer et al (2017), who applied a similar treatment of enthalpy energy of water substances in
469 the atmosphere.

470 The inferred multiannual mean (2006-2013) atmospheric energy transport from ocean to
471 land is about 2.65 PW, which is slightly higher than the 2.5 PW estimated by Trenberth and
472 Fasullo (2013) and may be due to different land surface heat uptake assumptions and method
473 employed. The variability of the transport is partially modulated by ENSO (correlation with
474 MEI is 0.55). The precipitation is also regulated by ENSO which moves the precipitation
475 from land to oceans during El Nino events. However, this would imply weaker latent heat
476 transport by moisture and so the observed increase in total energy transport during El Nino
477 events must be explained by increased sensible heat transport from ocean to land (or reduced
478 sensible heat transport from land to ocean).

479 The results presented here are from large scales, but it must be considered that
480 contributions to the transport, including air-sea heat exchange (vertical heat flux), cross a
481 broad range of scales. Large-scale air-sea heat exchange can be critically controlled by small-
482 scale ocean eddy motions called submesoscales, which dominate the ocean vertical motions
483 (and hence fluxes) (Torres et al 2018; Klein et al 2019; Yu et al 2019). In terms of the large
484 discrepancies of the TOA radiative fluxes and surface fluxes between observations, reanalysis
485 and model simulations, the diagnostic tool of Lembo et al. (2019b) may be employed to
486 compare the results, and more in-depth studies are needed using the latest available data sets
487 including the fifth-generation ECMWF reanalysis (ERA5). In this study, only ORAS5 data
488 are employed for the calculation of ocean heat content and its change, so future work should
489 incorporate more observation-based ocean analyses. Interpretation of the physical processes
490 governing variability in Earth's energy flows that are presented in this work will contribute to
491 advancing understanding the current trajectory of climate change.

492

493 **Acknowledgements**

494 This work was jointly supported by the National Key R&D Program of China
495 (2018YFC1505706 and 2018YFA0605604), the Strategic Priority Research Program of
496 Chinese Academy of Sciences (XDA20060503), the UK Natural Environment Research
497 Council (NERC) DEEP-C grant NE/K005480/1 and SMURPHS grant NE/N006054/1, the
498 National Centre for Atmospheric Science, and the National Centre for Earth Observation. CL
499 also likes to thank the support of the scientific research start-up grant of Guangdong Ocean
500 University (R20001) and the Guangdong Basic and Applied Basic Science Research
501 Foundation (2019B1515120018). The work of MM has been supported by Austrian Science
502 Fund project P33177. We thank Kevin Trenberth for providing helpful comments and
503 suggestions to the manuscript. We acknowledge the ECMWF for providing ERA-Interim,
504 ERA5 and ORAS5 data. We also acknowledge the teams making the CERES data, ERBE
505 WFOV data and AMIP6 climate simulations available. We thank three anonymous reviewers
506 for reviewing this paper and providing constructive comments and suggestions.

507

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729 **Figure captions**

730

731 **Figure 1.** Deseasonalized monthly mean TOA radiation fluxes in W/m^2 (reference period is
732 2001–2005). (a) Net radiation (NET), (b) outgoing longwave radiation (OLR) and (c)
733 absorbed shortwave radiation (ASR). The five year mean values of NET downward fluxes are
734 displayed at the top. Three month running means are applied. The WFOV data are 72 day
735 mean and are deseasonalized with respect to the 1985-99 period, the corresponding lines are
736 shifted vertically for clarity. Gray shading denotes the \pm one standard deviation of the ten
737 AMIP6 simulations.

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739 **Figure 2.** Updated observations of hemispheric energy flows in the climate system in
740 petawatts (PW) over 2006–2013. TOA radiative flux is from CERES EBAF 4.1 anchored to
741 0.71 Wm^{-2} over 2006–2013. 0.01 PW is the heat absorbed by the atmosphere. The heat
742 storage is 0.29 ± 0.02 PW in the southern hemisphere ocean and 0.06 ± 0.01 PW in the northern
743 hemisphere ocean based on the ensemble mean of adjusted 0-2000m ORAS5 OHCT.

744

745 **Figure 3.** Time series of global meridional transports at 30°N , equator and 30°S in ocean (left
746 column) and atmosphere (right column). Contributions of net surface energy flux and heat
747 storage integrated from the north pole to oceanic transport are also plotted. Heat storage
748 contribution and MEI lines are all adjusted up and down for clarity. Note the scale difference,
749 and the three plots in the right panel have same vertical scale range.

750

751 **Figure 4.** (a) Multiannual mean (2006–2013) northward total meridional ocean heat
752 transports (unit is PW) in Atlantic derived from the updated net DEEPC surface fluxes and
753 observations (symbols, error bars show one standard deviation). The ocean heat storage

754 derived from ORAS5 is also taken into account. The vertical dashed red line shows the
755 location of 26°N. (b) Northward meridional ocean heat transports at 26°N of Atlantic from
756 RAPID observations (red) and updated DEEPC net surface fluxes taking into account the sea
757 ice melting and ocean heat storage of ORAS5 0-2000m (solid black, grey shading is five
758 member mean \pm one standard deviation), together with the transports inferred from ERA-
759 Interim model surface fluxes (dashed grey line) and the one derived using mass corrected
760 atmospheric energy divergences (but no land surface flux adjustment) (solid light grey line).
761 The multiannual mean (April 2004 – March 2015) transports are also displayed in the plot.
762

763 **Figure 5.** (a) Updated observations of energy flows between ocean and land regions in the
764 climate system in petawatts (PW) over 2006–2013. TOA radiative flux is from CERES
765 EBAF 4.1 anchored to 0.71 Wm^{-2} (0.36 PW) over 2006–2013. (b) Time series of the
766 transport from ocean to land, together with the MEI which is divided by 10 and shifted up to
767 match the transport, and the TOA net flux over land multiplied by -1. The five year mean
768 transports are displayed at the top. (c) Scatter plot of global land precipitation and ocean to
769 land energy transport. (d) Scatter plot of TOA net flux over land and ocean to land energy
770 transport. Data points in the scatter plots are annual means and the correlation coefficients are
771 also displayed.

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Table 1. Datasets

Data set	Period (in this study)	Resolution	References
Reconstructed surface net flux v4.0	1985-2017	$0.7^\circ \times 0.7^\circ$	<i>Liu et al. (2015, 2017)</i>
CERES Ed4.1	2001-2019	$1.0^\circ \times 1.0^\circ$	<i>Loeb et al. (2018a)</i>
WFOV (v3.0 and v4.0)	1985-1999	$10^\circ \times 10^\circ$	<i>Wong et al. (2006)</i> <i>Shrestha et al. (2019)</i>
ERA-Interim (ERAINT)	1985-2017	$0.7^\circ \times 0.7^\circ$	<i>Dee et al. (2011)</i>
ERA5	1985-2018	$0.25^\circ \times 0.25^\circ$	<i>Copernicus Climate Change Service (2017)</i>
RAPID	2004-2017		<i>Smeed et al. (2017)</i>
ORAS5	1993-2016	$1.0^\circ \times 1.0^\circ$	<i>Zuo et al. (2018)</i>
AMIP6 simulations:	1985-2014		
BCC-CSM2-MR		$1.125^\circ \times 1.125^\circ$	<i>Wu et al. (2014)</i>
CESM2		$0.94^\circ \times 1.25^\circ$	<i>Bogenschutz et al. (2018)</i>
CNRM-CM6-1		$1.40^\circ \times 1.40^\circ$	<i>Eyring et al. (2016)</i>
EC-Earth3-Veg		$0.70^\circ \times 0.70^\circ$	<i>Davini et al. (2017)</i>
FGOALS-f3-L		$1.0^\circ \times 1.25^\circ$	<i>He et al. (2019)</i>
HadGEM3-GC31-LL		$1.25^\circ \times 1.875^\circ$	<i>Williams et al. (2018)</i>
IPSL-CM6A-LR		$1.25^\circ \times 1.25^\circ$	<i>Boucher et al. (2019);</i> <i>Lurton et al. (2019)</i>
MIROC6		$1.43^\circ \times 1.43^\circ$	<i>Tatebe et al. (2019)</i>
MRI-ESM2-0		$1.125^\circ \times 1.125^\circ$	<i>Yukimoto et al. (2019)</i>
SAM0-UNICON		$0.94^\circ \times 1.25^\circ$	<i>Park et al. (2019)</i>

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Table 2. TOA flux trend ($\text{Wm}^{-2}\text{dec}^{-1}$) and correlation coefficient. Statistically significant values at the 95% confidence level are marked bold. Δm denotes the 95% confidence range.

Data set	Period	Trend ($m \pm \Delta m$) $\text{W/m}^2/\text{decade}$			Correlation with DEEPC		
		NET	ASR	OLR	NET	ASR	OLR
DEEPC	1985-2000	0.22±0.14	-0.28±0.13	-0.50±0.10			
ERA-Interim		0.55±0.11	0.67±0.08	0.11±0.07	0.54	0.15	0.45
ERA5		0.02±0.13	-0.04±0.10	-0.06±0.08	0.82	0.87	0.79
AMIP6 ensemble mean		0.15±0.10	0.24±0.08	0.09±0.07	0.60	0.63	0.48
DEEPC (CERES Ed4.1)	2001-2014	0.34±0.15	0.27±0.11	-0.06±0.09			
CERES Ed2.8		0.12±0.13	-0.03±0.09	0.15±0.09	0.95	0.87	0.90
ERA-Interim		-0.54±0.12	-1.50±0.11	-0.97±0.08	0.71	0.27	0.53
ERA5		-0.01±0.13	-0.17±0.11	-0.16±0.08	0.89	0.77	0.87
AMIP6 ensemble mean		0.12±0.09	0.12±0.07	-0.18±0.10	0.51	0.37	0.26

Table 3. Five year mean TOA fluxes (Wm^{-2})

	NET			ASR			OLR		
	DEEPC	AMIP6	ERA5	DEEPC	AMIP6	ERA5	DEEPC	AMIP6	ERA5
1985-1989	0.14	1.09	0.58	240.72	239.73	242.96	240.58	238.64	242.37
1990-1994	-0.10	0.62	-0.20	240.01	238.90	242.17	240.11	238.28	242.37
1995-1999	0.27	1.09	0.41	240.39	239.82	242.78	240.13	238.74	242.37
2000-2004	0.49	1.20	0.67	240.58	240.06	242.77	240.09	238.86	242.10
2005-2009	0.67	1.15	0.80	240.77	239.84	242.85	240.10	238.69	242.05
2010-2014	0.69	1.15	0.56	240.83	239.90	242.55	240.13	238.74	241.99

Table 4. Cross-equatorial atmospheric and oceanic energy transports (PW)

	Atmosphere	Ocean	Time period
Loeb et al. (2016)	0.24	0.44	January 2001– December 2012
Stephens et al. (2016)	0.33±0.6	0.45±0.6	January 2004– December 2014
Liu et al.(2017)	0.22±0.15	0.32±0.16	January 2006– December 2013
Mayer e al. (2017)	0.40	0.53	March 2000– February 2007
Trenberth and Zhang (2019)	0.35±0.02	0.22±0.10	January 2000– December 2016
This study	0.43±0.15	0.50±0.16	January 2006– December 2013

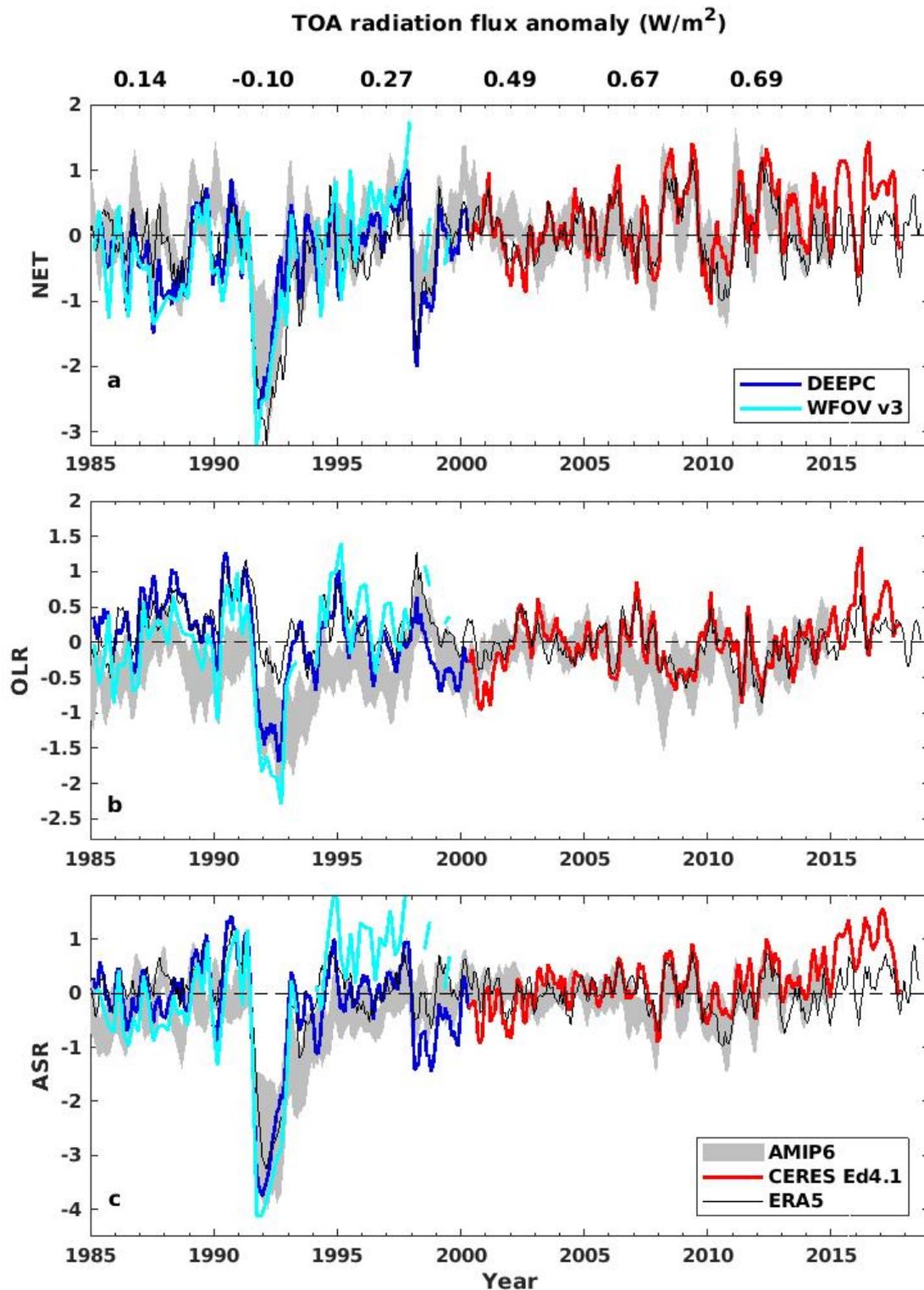


Figure 1. Deseasonalized monthly mean TOA radiation fluxes in W/m^2 (reference period is 2001–2005). (a) Net radiation (NET), (b) outgoing longwave radiation (OLR) and (c) absorbed shortwave radiation (ASR). The five year mean values of NET downward fluxes are displayed at the top. Three month running means are applied. The WFOV data are 72 day mean and are deseasonalized with respect to the 1985–99 period, the corresponding lines are shifted vertically for clarity. Gray shading denotes the \pm one standard deviation of the ten AMIP6 simulations.

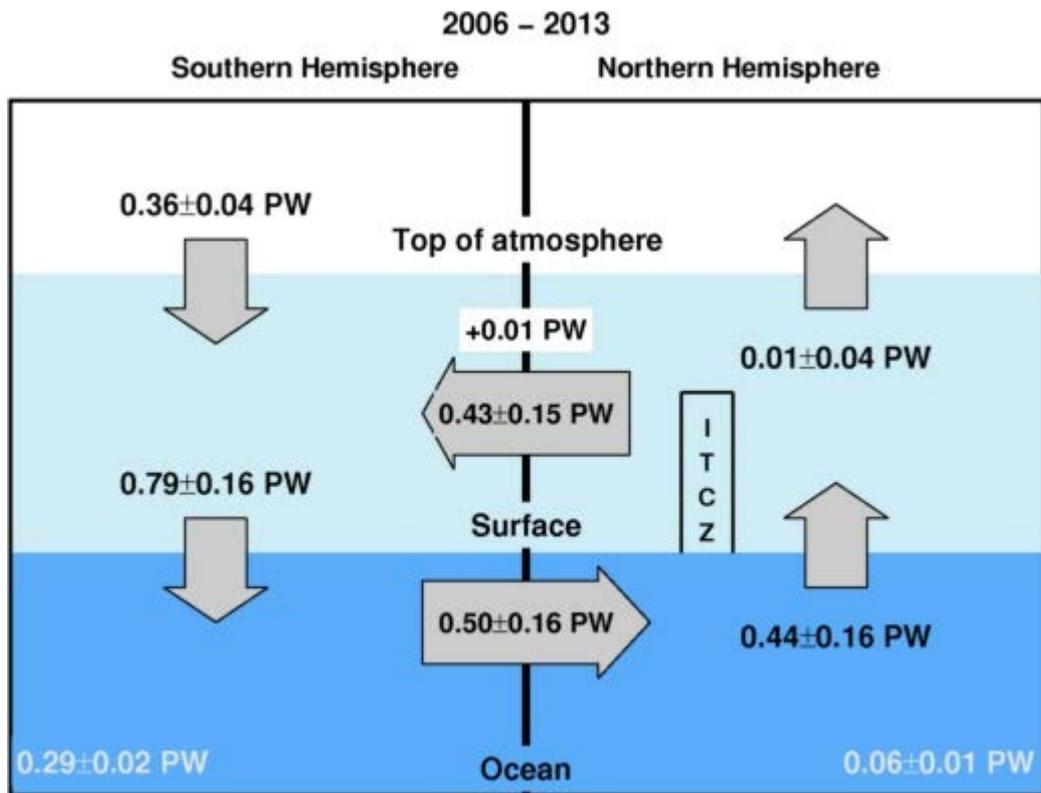


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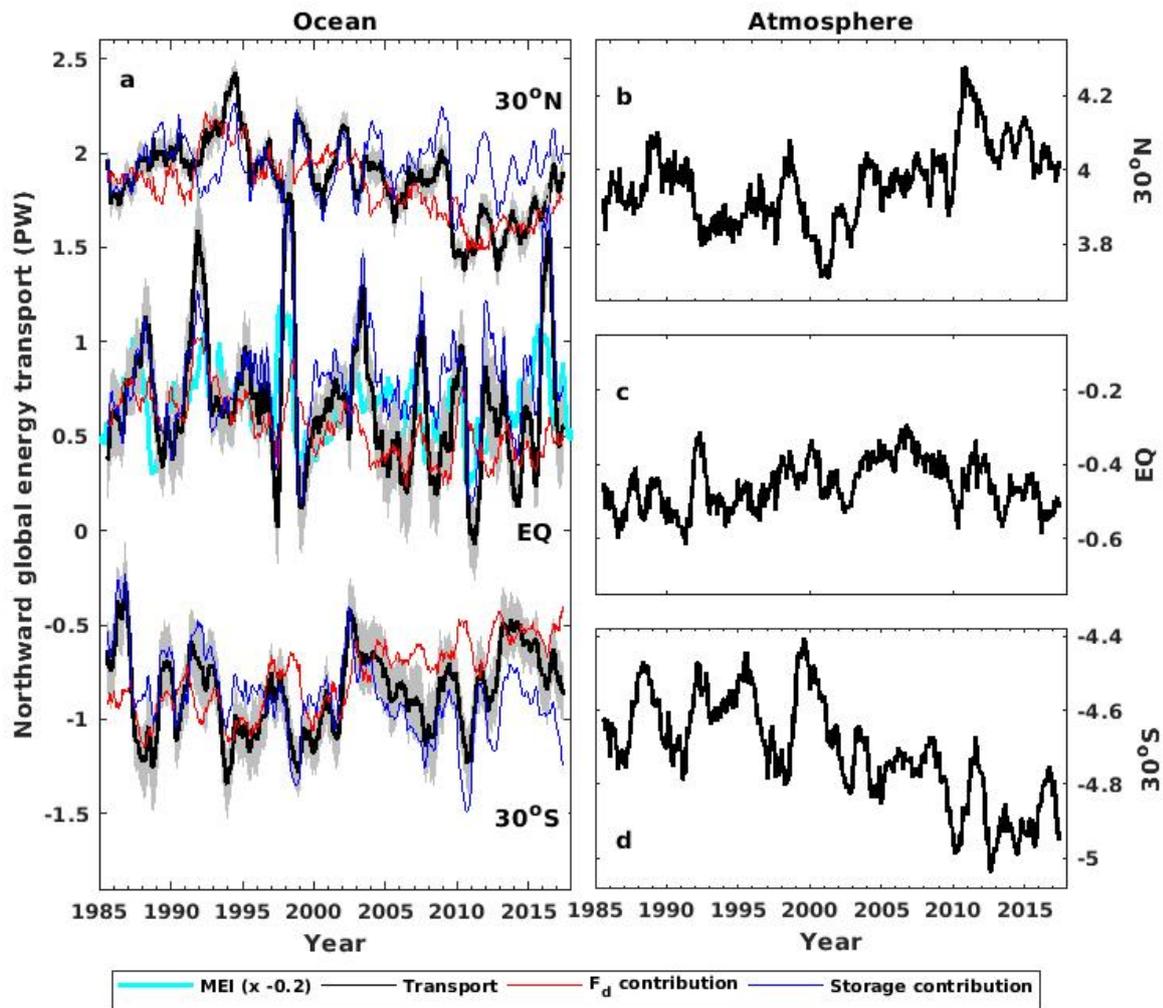


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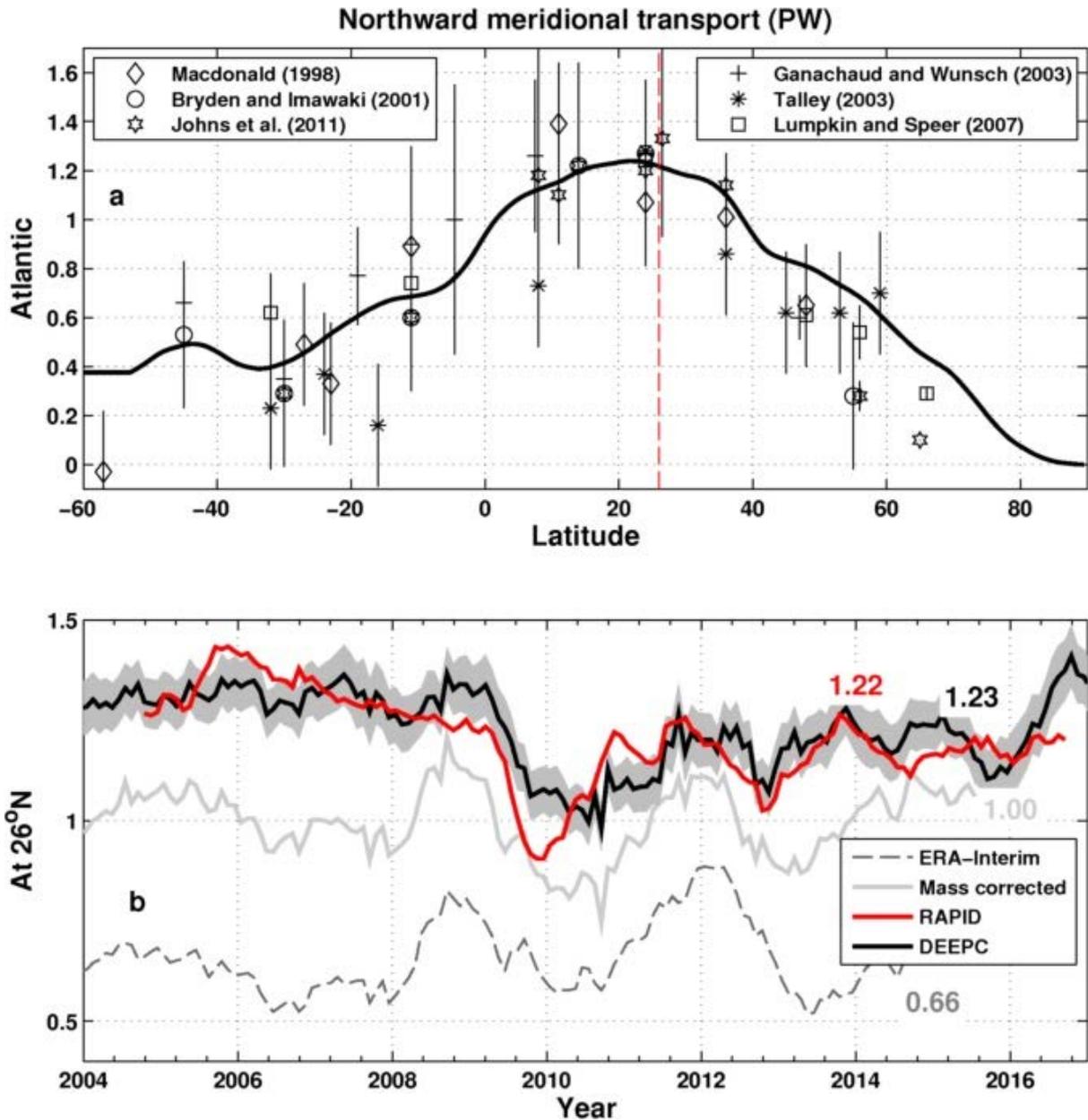


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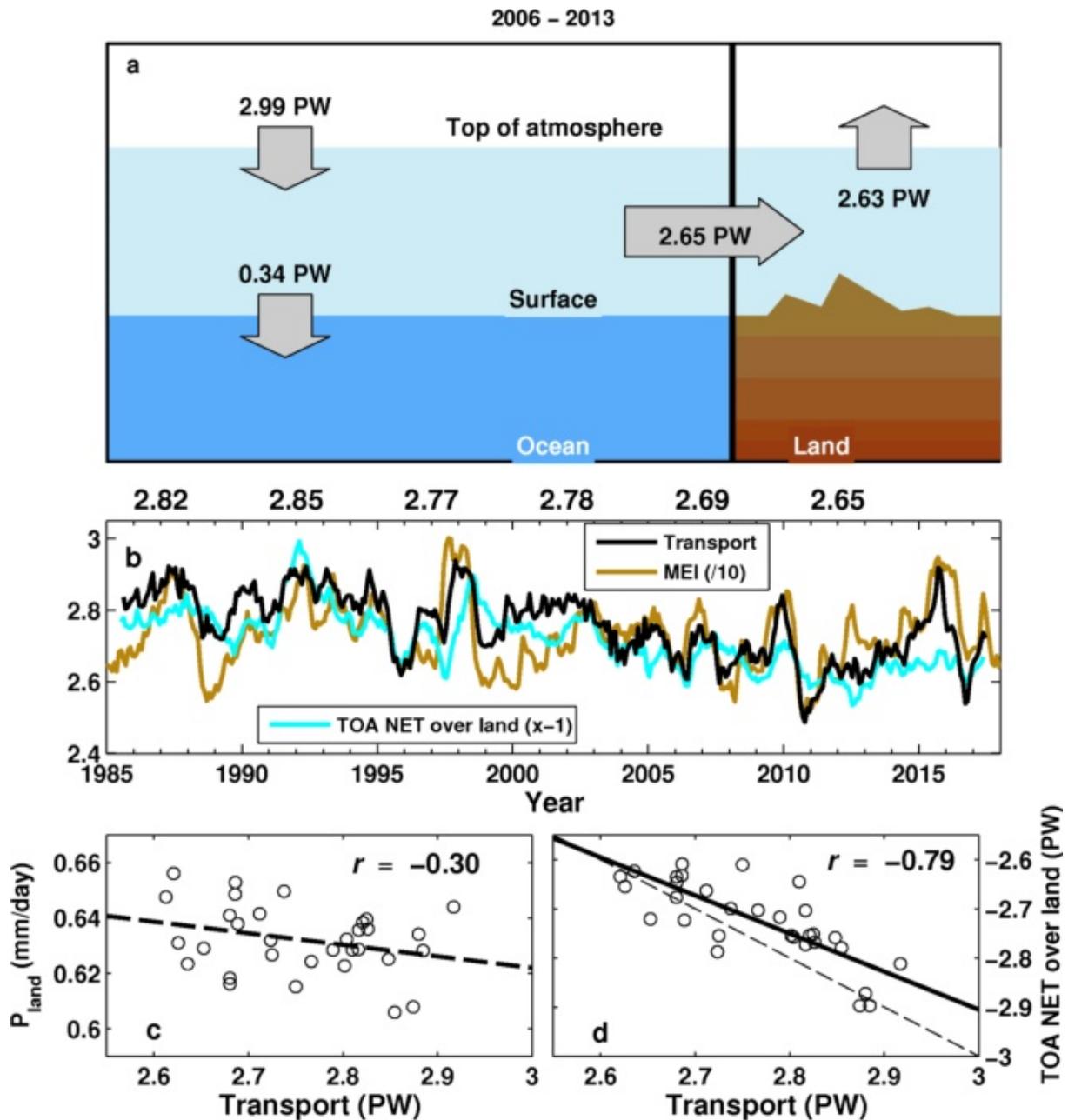


Figure 5. (a) Updated observations of energy flows between ocean and land regions in the climate system in petawatts (PW) over 2006–2013. TOA radiative flux is from CERES EBAF 4.1 anchored to 0.71 Wm^{-2} (0.36 PW) over 2006–2013. (b) Time series of the transport from ocean to land, together with the MEI which is divided by 10 and shifted up to match the transport, and the TOA net flux over land multiplied by -1. The five year mean transports are displayed at the top. (c) Scatter plot of global land precipitation and ocean to land energy transport. (d) Scatter plot of TOA net flux over land and ocean to land energy transport. Data points in the scatter plots are annual means and the correlation coefficients are also displayed.